

Pari-Mutuel Commission

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Chapter 9: Rules of Pari-Mutuel Betting

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CHAPTER 9

RULES FOR PARI-MUTUEL BETTING

Section 1. Mutuels – General Provision.

(a) General

Each Permittee shall conduct wagering in accordance with applicable laws and these rules. Such wagering shall employ a pari-mutuel system approved by the Commission. The totalisator shall be tested as required by the Commission.

(b) Records

(i) The Permittee shall maintain records of all wagering so the Commission may review such records for any contest including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each Permittee and safeguarded for a period of time specified by the Commission. The Commission may require that certain records be made available to the wagering public at the completion of each contest.

(ii) The Permittee shall provide the Commission with a list of the licensed individuals afforded access to pari-mutuel records and equipment at the wagering facility.

(c) Pari-Mutuel Tickets

A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool operated by the Permittee and is evidence of the obligation of the Permittee to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The Permittee shall cash all valid winning tickets when such are presented for payment during the course of the meeting where sold, and for one full year immediately following the date of purchase.

(i) To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket matching operated by the Permittee and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as to:

(A) The name of the Permittee operating the meeting.

(B) A unique identifying number or code.

(C) Identification of the terminal at which the ticket was issued.

(D) A designation of the performance for which the wagering transaction was issued.

(E) The contest number for which the pool is conducted.

(F) The type or types of wagers represented.

(G) The number or numbers representing the betting interest for which the wager is recorded.

(H) The amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence.

(ii) No pari-mutuel ticket recorded or reported as previously paid, cancelled, or non-existent shall be deemed a valid pari-mutuel ticket by the Permittee. The Permittee may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in rule (d) of these general provisions.

(d) Pari-Mutuel Ticket Sales

(i) Pari-mutuel tickets shall not be sold by anyone other than a Permittee licensed to conduct pari-mutuel wagering.

(ii) No pari-mutuel tickets may be sold on a live pari-mutuel event for which wagering has already been closed and no Permittee shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest.

(iii) Claims pertaining to a mistake on an issued or unissued ticket must be made by the bettor prior to leaving the seller's window.

(iv) Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official". Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payoff. If an error in the posted order of finish or payoff figures is discovered, the official order of finish or payoff prices may be corrected and an announcement concerning the change shall be made to the public.

(v) The Permittee shall not satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Commission.

(vi) The Permittee shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

(vii) A pari-mutuel ticket shall not be sold to a licensed jockey.

(e) Advance Performance Wagering

No Permittee shall permit wagering to begin more than one hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the Commission.

(f) Claims for Payment from Pari-Mutuel Pool

At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the Permittee in any case where the Permittee has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the Commission, and the claimant shall make such claim under penalty of perjury. The original of such claim shall be forwarded to the Commission within 48 hours.

(i) In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in section c, (i) of these general provisions, the Permittee shall make a recommendation to accompany the claim forwarded to the Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket.

(ii) In the case of a claim made for payment on a pari-mutuel wager, the Commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the Permittee, or may deny the claim, or may make such other order as it may deem proper.

(g) Payment for Errors

If an error occurs in the payment amounts for pari-mutuel wagers which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

(i) Verification is required to show that the amount of the commission, the amount in breakage, and the amount of payoffs is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payoff, the underpayment shall be added to the corresponding pool of the next contest. If underpayments are discovered after the close of the meeting, the underpayment shall

be held in an interest-bearing account approved by the Commission until being added, together with accrued interest, to the corresponding pool of the next meet.

(ii) Any claim not filed with the Permittee within 30 days, inclusive of the date on which the underpayment was publicly announced, shall be deemed waived; and the Permittee shall have no further liability therefore.

(iii) In the event the error results in an overpayment to winning wagers, the Permittee shall be responsible for such payment.

(h) Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on Permittee grounds available upon request through Permittee representatives.

(i) Display of Betting Information

(i) Approximate odds for Win pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 90 seconds.

(ii) The probable payoff or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission.

(iii) Official results and payoffs must be displayed upon each contest being declared official.

(j) Cancelled Contests

If a contest is cancelled or declared "no contest", refunds shall be granted on valid wagers in accordance with these rules.

(k) Refunds

(i) Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:

(A) Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interest has been reduced to fewer than two (2).

(B) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-Superfecta pools offered in contests in which the number of betting interest has been reduced to fewer than three (3).

(C) Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contest in which the number of betting interests has been reduced to fewer than four (4).

(ii) Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket.

(iii) If a jockey weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, all monies wagered on the horse shall be deducted from the pool and be refunded.

(A) In case of a coupled entry, if one of the jockeys riding the entry weighs in short of weight by more than two (2) pounds and his mount is disqualified in consequence of short weight, no refund shall be made unless the other portion of the entry is also disqualified for short weight in which case all money wagered on the entry disqualified shall be deducted from the pool and be refunded. This rule applies with equal effect to the field.

(iv) If a race is cancelled by the Stewards after wagering begins on that race, all money wagered on that race shall be refunded.

(v) If a horse is left at the post at "off-time" there shall be no refund, but if one horse or more is prevented from leaving the post at "off-time" because of being locked in the gate the Starter shall immediately notify the Stewards who shall immediately notify the manager of the pari-mutuel department and a refund shall be made on the horses affected. The Starter shall be the sole judge of what horses are prevented from starting in a race through failure of the gates to open.

(vi) In all cases when a horse has been excused by the Stewards after wagering has started but before "off-time" all money wagered on the horse excused shall be deducted from the pool and refunded.

(vii) If no horse finishes in race, all money wagered on that race shall be refunded.

(viii) If no roper gets a time in a section, all money wagered shall be carried over into the respective pools of the next section. If there are no more sections to be contested in the event, all money shall be refunded.

(l) Coupled Entries and Mutuel Fields

(i) Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.

(ii) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

(m) Pools Dependent Upon Betting Interests

Unless the Commission otherwise provides, at the time the pools are opened for wagering, the Permittee:

(i) May offer win, place, and show wagering on all contests with six (6) or more betting interests.

(ii) May be allowed to prohibit show wagering on any contest with five (5) or fewer betting interests scheduled to start.

(iii) May be allowed to prohibit place wagering on any contest with four (4) or fewer betting interests scheduled to start.

(iv) May be allowed to prohibit Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(v) May be allowed to prohibit Quinella Double wagering on any contests with three (3) or fewer betting interests scheduled to start.

(vi) May be allowed to prohibit Exacta wagering on any contest with three (3) or fewer betting interests scheduled to start.

(vii) Shall prohibit Trifecta wagering on any contest with three (3) or fewer betting interests scheduled to start.

(viii) Shall prohibit Superfecta wagering on any contest with four (4) or fewer betting interests scheduled to start.

(ix) May be allowed to prohibit Twin Quinella wagering on any contests with three (3) or fewer betting interests scheduled to start.

(x) Shall prohibit Twin Trifecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(xi) Shall prohibit Tri-Superfecta wagering on any contests with of seven (7) or fewer betting interests scheduled to start.

(xii) Shall prohibit Twin Superfecta wagering on any contests with seven (7) or fewer betting interests scheduled to start.

(n) Prior Approval Required for Betting Pools

(i) A Permittee that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool.

(ii) The Permittee may suspend, for a specific performance, previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form of wagering is reinstated. A Permittee may request approval of a form of wagering or separate wagering pool for specific performances or events.

(o) Closing of Wagering in a Contest

(i) A Commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest.

(ii) The Permittee shall maintain, in good order, a system approved by the Commission for closing wagering.

(p) Complaints Pertaining to Pari-Mutuel Operations

(i) When a patron makes a complaint regarding the pari-mutuel department to a Permittee, the Permittee shall immediately issue a complaint report, setting out:

(A) The name of the complainant;

(B) The nature of the complaint;

(C) The name of the persons, if any against whom the complaint was made;

(D) The date of the complaint;

(E) The action taken or proposed to be taken, if any, by the Permittee.

(ii) The Permittee shall submit every complaint report to the Commission within 48 hours after the complaint was made.

(q) Licensed Employees

All licensees shall report any known irregularities or wrong doings by any persons involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations.

(r) Unrestricted Access

The Permittee shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the Permittee that relate to pari-mutuel wagering.

(s) Emergency Situations

In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the Permittee shall report the problem to the stewards and the Permittee and the stewards shall render a full report to the Commission within 48 hours.

Section 2. Advance Deposit Wagering.

(a) Definitions

(i) ACCOUNT, an advance deposit wagering account used to record credits, debits, deposits and withdrawals.

(ii) ACCOUNT HOLDER, a natural person that has established an account.

(iii) ACCOUNT NUMBER, a unique identification number designated by the Permittee, betting system, or the Wyoming hub.

(iv) ADVANCE DEPOSIT WAGERING, a form of pari-mutuel wagering in which an account holder, residing within or outside Wyoming, establishes an account and then authorizes a Commission approved Permittee, Betting System or WY Hub, by telephone or other electronic media, to place wagers on racing on the account holder's behalf. Only the Permittee, Betting System, or Wyoming Hub that holds the account can make an advance deposit wager for the account holder. Wagering instructions that concern funds held in an account shall be deemed issued within the enclosure of the Permittee.

(v) APPLICANT, any entity including, but not limited to, corporations, partnerships, limited liability companies, limited partnerships, or individuals that file an application to conduct advance deposit wagering.

(vi) APPLICATION, the application that must be Commission-approved prior to a Permittee, betting system or WY Hub being permitted to conduct pari-mutuel wagering.

(vii) BETTING SYSTEM, a business conducted for Wyoming that facilitates pari-mutuel wagering on races it offers in its wagering menu.

(viii) COMMISSION, the Wyoming Pari-mutuel Commission.

(ix) CONFIDENTIAL INFORMATION:

(A) The amount of money credited to, debited from, or present in any particular account holder's Account.

(B) The amount of money wagered by a particular account holder on any races or series of races.

(C) The account number and secure means of personal identification of an account holder.

(D) The identities of particular entries on which the account holder is wagering or has wagered.

(E) Unless otherwise authorized by the account holder, the name, address, and other information in possession of the Permittee, betting system or Wyoming Hub that would identify the account holder to anyone other than the Commission, Permittee, betting system or Wyoming Hub.

(F) Credit and debit card information provided by account holder.

(x) CREDITS, all positive inflow of money to an account.

(xi) DEBITS, all negative outflow of money when placing a wager and other transaction related charges from an account or for the purchase of racing related merchandise and services.

(xii) DEPOSIT, a credit of money to an account from an account holder.

(xiii) PERMITTEE, any person, entity or corporation granted a permit by the Commission to conduct pari-mutuel events within the State of Wyoming.

(xiv) MEANS OF PERSONAL IDENTIFICATION, the unique number, code or other secure technology designated by an account holder to assure that only the account holder has access to his or her account.

(xv) NATURAL PERSON, any person at least 18 years of age.

(xvi) OTHER ELECTRONIC MEDIA, any electronic communication device or combination of devices including, but not limited to, personal computers, the Internet, private networks, interactive television and wireless communication technologies.

(xvii) PROPER IDENTIFICATION, a form of identification, accepted in the normal course of business, that establishes the person making a transaction is the account holder.

(xviii) WITHDRAWAL, a payment from an account by the Permittee, betting system, or Wyoming Hub to the account holder.

(xvix) WITHDRAWAL SLIP, the form provided by the Permittee, betting system, or Wyoming Hub to an account holder to withdraw funds.

(b) Duties of Advance Deposit Wagering Permittee

(i) An applicant shall complete an application for permit to conduct advance deposit Wagering, which is available at the Commission's administrative office. The application must be filed no later than 60 days in advance of the scheduled start date of operation.

(ii) Applicants shall provide financial information that demonstrates the financial resources to operate advance deposit wagering and provide a detailed budget that shows anticipated revenue, expenditures and cash flows by month, projected for the term of the permit.

(iii) The Commission may conduct investigations, inspections or request additional information from the applicant as it deems appropriate in determining whether to approve the permit.

(iv) Prior to any account being established or wagering being conducted, the application submitted to the Commission must be approved and a permit issued to the applicant. The permit shall be authorized annually by the Commission.

(v) Subsequent to the issuance of a permit to conduct advance deposit wagering under this article, changes or amendments to information or operating procedures contained in an application will be permitted only by order of the Commission or by Commission approval of a request for changes or amendments submitted in writing by the applicant.

(vi) Applicants shall establish security access policies as set forth:

(A) The applicant shall utilize personal identification numbers (PINs) or other technologies to assure that only the account holder has access to the advance deposit wagering account.

(B) The applicant shall allow the commission access to its premises to visit, investigate, and place expert accountants and other persons it deems necessary for the purpose of ensuring that its rules and regulations concerning credit authorization, account access, and other security provisions are strictly complied with.

(vii) Applicants that accept wagers shall provide a full accounting and verification of the source of the wagers, and a detailed wagering information file that includes, but is not limited to, dollar amount wagered, pool on which the wager was placed, race number and racing venue, zone, breed, zip code of the account holder, time wagering stopped, and time of the wager in the form of a daily mutuel data download to the Commissions designated database.

(viii) The Commission, or its designee, shall be given access for review and audit of all records. The applicant shall, at their location during hours of operation, make such information available. The Commission may require the applicant to annually submit audited financial statements.

(ix) The Commission shall prohibit advance deposit wagering advertising that it determines to be deceptive to the public. The Commission shall also require, by regulation, that every form of advertising contain a statement that minors are not allowed to open or have access to advance deposit wagering accounts.

(x) Contact information for a recognized problem-gambling support organization shall be made available to all account holders.

(c) Operation of Advance Deposit Wagering

(i) The Permittee may suspend or close an account. Any account with no activity for at least six months may be closed. When an account is closed the

Permittee shall mail any funds, less processing fees, within five business days to the account holder at the address of record.

(ii) Funds shall be in an interest bearing account.

(iii) Funds in an account shall not bear interest to the account holder.

(iv) All wagering conversations, transactions or other wagering communications through the advance deposit wagering system, verbal or electronic, shall be recorded by means of electronic media, and the tapes or other records of such communications shall be kept by the Permittee for 180 days. These tapes and other records shall be made available to the Commission upon request or order by the Executive Director.

(v) The total amount of all account wagers shall be included in the respective pools for each race and shall be combined into the Permittee's pools or directly into the corresponding pools of the host track.

(vi) Accounts are for personal use only and the account holder is responsible to maintain the secrecy of the account number and means of personal identification. The account holder must immediately notify the Permittee of any breach of the account's security.

(vii) Upon request of the account holder, the Permittee shall provide a statement detailing account activity for the immediate 30 days prior to the request. Unless the Permittee receives written notice disputing the statement within 14 days of the date the statement is forwarded, it shall be deemed to be correct.

(viii) Unless otherwise noted on the account application, the address provided on the application to establish the account is deemed the address of record for mailing checks, statements of account, account withdrawals, notices, or other correspondence. It is the responsibility of the account holder to notify the Permittee of any address change.

(ix) No employee or agent of the Permittee shall divulge any confidential information related to an advance deposit wagering account, the placing of any wager or any confidential information related to the operation of the advance deposit wagering system without the consent of the account holder, except to the account holder as required by this article, the Commission, and as otherwise required by state or federal law.

(x) Account holders shall designate if they want to use a credit card to make deposits to their account. Changes to that designation shall require 24 hours' notice to the Permittee.

(d) Requirements to Establish an Advance Deposit Wagering Account

(i) Accounts shall be established in person, by mail, telephone or other electronic media before any wagering shall be conducted. An account shall only be established in the name of a natural person and is non-transferable.

(ii) Any individual prohibited from wagering under Wyoming Pari-mutuel Commission Rules shall be prohibited from establishing an account or placing a wager.

(iii) The information required to establish an account shall include:

(A) Account holder's full legal name.

(B) Principal residence address.

(C) Mailing Address, e-mail address, when available.

(D) Telephone number.

(E) Social Security number.

(F) Identification or certification to prove the account holder is at least 18 years of age.

(G) Completed W-9 form.

(H) Whether the account holder wants to use a credit card to make deposits to their account.

(I) Signature of applicant.

(iv) The Permittee shall employ electronic verification with respect to each account holder's name, principal residence address, date of birth and Social Security number at the time of account establishment by a Commission-approved national independent reference company or another independent technology approved by the Commission which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies.

(v) The Permittee may refuse to establish an account if it is found that any of the information supplied is untrue or incomplete.

(vi) When an account is established the Permittee shall designate an account number for each account. The number can be changed provided the account holder is informed prior to any change.

(vii) The account holder shall designate a means of personal identification to use to access their account.

(viii) The Permittee shall inform the account holder of the assigned account number and provide a copy of its advance deposit wagering procedures, terms and conditions as well as any information that pertains to the operation of the account.

(ix) Each Permittee shall, at all times, comply with Internal Revenue Service (IRS) requirements for reporting and withholding proceeds from advance deposit wagers by account holders and shall send to account holders subject to IRS reporting or withholding a Form W2-G summarizing the information for tax purposes following a winning wager being deposited into an account. Upon written request, the Permittee shall provide account holders with summarized tax information on advance deposit wagering activities.

(e) Deposits to an Advance Deposit Wagering Account

(i) Deposits to an Account shall be made in the following form:

(A) Cash deposits made directly.

(B) Personal checks, cashier's checks and money orders made directly or mailed.

(C) Debits to an account holder's credit card or debit card, upon direct instructions of the account holder, providing the use of such card has been pre-approved by the Permittee and designated by the account holder at least 24 hours in advance. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(D) Debits by electronic fund transfer (EFT) from a monetary account controlled by an account holder. The account holder may be liable for any charges imposed by the transmitting or receiving Permittee and the charges may be deducted from the account holder's account.

(E) Cashier's checks, money orders and EFTs shall be given immediate credit upon presentation. Credit cards or debit cards shall be given immediate credit upon authorization from the issuer.

(F) Permittees shall disclose their policy regarding the acceptance of personal checks to the account holder.

(f) Placing an Advance Deposit Wager

(i) Debits to an account shall be made in the following form:

(A) The Permittee shall debit the amount wagered by an account holder.

(B) Wagers shall not be accepted in an amount in excess of an account balance.

(C) The Permittee may debit the account for service or other transaction-related charges.

(D) The Permittee may debit the account for purchases of racing related merchandise and services requested by an account holder.

(g) Withdrawals from an Advance Deposit Wagering Account

(i) Withdrawals shall be completed within five business days after the Permittee receives a request from an account holder by mail, by telephone, or other electronic media accompanied by the valid account number and a means of personal identification or, if by mail, a signed completed withdrawal slip.

(ii) If sufficient funds are available, the Permittee shall send a check payable to the account holder in the amount of the requested withdrawal to the address of record.

(iii) If sufficient funds are not available, the Permittee shall, within five business days of receipt, provide notification to the account holder of insufficient funds and send a check, payable to the account holder, in the amount of the funds available, to the address of record.

(iv) An EFT may be used in lieu of a check at the discretion of the account holder and the Permittee, subject to the same conditions set forth in Chapter. 9 Sec. 2 (e).

(v) Account Holders may make withdrawals in person with such identification as required by the Permittee, the valid account number, and a means of personal identification.

(h) Credit for Winning Wagers and Scratched Entries

(i) The Permittee, betting system, or Wyoming Hub shall post credits for winnings from advance deposit wagers and any credit for wagers on a scratched entry to the account after the race is declared official.

(i) Proceeds from a Deceased Account Holder

(i) If an account holder is deceased, the Permittee, betting system, or WY Hub shall release the funds in the account to the decedent's legal representative upon receipt of a copy of a probate court authorization or other documents as required by applicable Wyoming or other state laws.

Section 3. Interstate Common Pool Wagering.

(a) General

(i) All contracts governing participation in interstate common pools shall be submitted to the Commission for approval.

(ii) Individual wagering transactions are made at the point of sale in the state where placed. Pari-mutuel pools are combined for computing odds and calculating payoffs and breakage, but will be held separate for auditing and all other purposes.

(iii) Any surcharges or withholdings in addition to the takeout shall only be applied in the jurisdiction otherwise imposing such surcharges or withholdings.

(iv) In determining whether to approve an interstate common pool which does not include the host track, the Commission shall consider and may approve use of a bet type which is not utilized at the host track, application of a takeout rate not in effect at the host track, or other factors which are presented to the Commission.

(v) The content and format of the visual display of racing and wagering information at facilities in other jurisdictions where wagering is permitted in the interstate common pool need not be identical to the similar information permitted or required to be displayed under these rules.

(vi) In cases where host track state wagering rules differ from guest state wagering rules, host track state rules shall apply.

(b) Guest State Participation in Interstate Common Pools

(i) With the prior approval of the Commission, pari-mutuel wagering pools may be combined with corresponding wagering pools in the host state, or with corresponding pools established by one or more other jurisdictions.

(ii) The Commission may permit adjustment of the takeout from the pari-mutuel pool so that the takeout rate in this jurisdiction is identical to that at the host track, or identical to that of other jurisdictions participating in a merged pool.

(iii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iv) Rules of racing as established for the contest in the host state shall apply to the merged pool.

(v) The Commission shall approve agreements made between the Permittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(vi) If, for any reason, it becomes impossible to successfully merge the bets placed into the interstate common pool, the Permittee shall make payoffs in accordance with payoff prices that would have been in effect if prices for the pool of bets were calculated without regard to wagers placed elsewhere; except that, with permission of the Commission, the Permittee may alternatively determine to either pay winning tickets at the payoff prices at the host track, or declare such accepted bets void and make refunds in accordance with the applicable rules.

(c) Host State Participation in Merged Pools

(i) With the prior approval of the Commission, an Permittee licensed to conduct pari-mutuel wagering may determine that one or more of its contest be utilized for pari-mutuel wagering at guest facilities in other states, and may also determine that pari-mutuel pools in guest state be combined with corresponding wagering pools established by it as the host track or comparable wagering pools established by two or more states.

(ii) Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.

(iii) The Commission shall approve agreements made between the Permittee and other participants in interstate common pools governing the distribution of breakage between the jurisdictions.

(iv) Any contract for interstate common pools entered into by the Permittee shall contain a provision to the effect that if, for any reason, it becomes impossible to successfully merge the bets placed in another state into the interstate common pool formed by the Permittee, or if, for any reason, the Commission's or the Permittee's representative determines that attempting to effect transfer of pool data from the guest state may endanger the Permittee's wagering pool, the Permittee shall have no liability for any measures taken which may result in the guest's wagers not being accepted into the pool.

(d) Takeout Rates in Interstate Common Pools

(i) With the prior approval of the Commission, aPermittee wishing to participate in an interstate common pool may change its takeout rate (within the limits permitted by state law) so as to achieve a common takeout rate with all other participants in the interstate common pool.

(ii) A Permittee wishing to participate in an interstate common pool may request that the Commission approve a methodology whereby host and guest states with different takeout rates for corresponding pari-mutuel pools may effectively and equitably combine wagers from the different states into an interstate common pool.

Section 4. Calculation of Payoffs and Distribution of Pools – Live Events & Live Simulcast Events.

(a) General

(i) All permitted pari-mutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool shall constitute the net pool for distribution as payoff on the winning wagers.

(ii) For each wagering pool, the amount wagered on the winning betting interest or betting combinations is deducted from the net pool to determine the profit; the profit is then divided by the amount wagered on the winning betting interest or combinations, such quotient being the profit per dollar.

(iii) Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools.

(A) Standard price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
net pool	= gross pool x (1 - percent takeout)
profit	= net pool - gross amount bet on winner
profit per dollar	= profit/gross amount bet on winner
\$1 unbroken price	= profit per dollar + \$1

PRICE SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finisher. Finishers split profit 1/2 and 1/2 (place profit), then divide by gross amount bet on each place finisher for two unique prices.

PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit 1/3 and 1/3 and 1/3 (show profit), then divide by gross amount bet on each show finisher for three unique prices.

Net price calculation procedure:

SINGLE PRICE POOL (WIN POOL)

gross pool	= sum of wagers on all betting interest - refunds
* for each source:	
net pool	= gross pool x (1 - percent takeout)
net bet on winner	= gross amount bet on winner x (1 - percent takeout)
total net pool	= sum of all sources net pools
total net bet on winner	= sum of all sources net bet on winner
total profit	= total net pool - total net bet on winner
profit per dollar	= total profit/total net bet on winner
\$1 unbroken base price	= profit per dollar + \$1

* for each source:

unbroken \$1 price = \$1 unbroken base price x (1 - percent takeout)

PROFIT SPLIT (PLACE POOL)

Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit 1/2 and 1/2 (place profit), then divide by total net amount bet on each place finisher for two unique unbroken base prices.

PROFIT SPLIT (SHOW POOL)

Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit 1/3 and 1/3 and 1/3 (show profit), then divide by total net amount bet on each show finisher for three unique unbroken base prices.

(iv) If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool.

(v) Minimum payoffs and the method used for calculating breakage shall be established by the Commission.

(vi) The individual pools outlined in these rules may be given alternative names by each Permittee, provided prior approval is obtained from the Commission.

(b) Win Pools

(i) The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining betting the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest.

(ii) The net Win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:

(A) To those whose selection finished first; but if there are no such wagers, then

(B) To those whose selection finished second; but if there are no such wagers, then

(C) To those whose selection finished third; but if there are no such wagers, then

(D) The entire pool shall be refunded on Win wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Win pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Win pool shall be distributed as a profit split.

WIN POOL

Sum of Wagers on All Betting Interests = \$194,230.00

Refunds = \$1,317.00

Gross Pool: Sum of Wagers on All Betting Interest – Refunds = \$192,913.00

Percent Takeout = 18%

Net Pool: Gross Pool x (1 – Percent Takeout) \$158,188.66

Gross Amount Bet on Winner = \$23,872.00

Profit:

Net Pool – Gross Amount Bet on Winner = \$134,316.66

Profit Per Dollar: Profit/Gross Amount Bet on Winner = \$5.6265357

\$1 Unbroken Price: Profit Per Dollar + \$1 = \$6.6265357

(c) Place Pools

(i) The amounts wagered to Place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest.

(ii) The net Place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) As a profit split to those whose selection is included within the first two finishers; but if there are not such wagers on one of those two finishers; then

(C) As a single price pool to those who selected the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(D) As a single price pool to those who selected the third-place finisher, but if there are no such wagers, then

(E) The entire pool shall be refunded on Place wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as a single price pool.

(B) Contestants representing two or more betting interests, the Place pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the Place pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Place pool is divided with one-half (1/2) of the profit distributed to Place wagers on the betting interest finish first and the remainder is distributed equally amongst Place wagers on those betting interest involved in the dead heat for second.

PLACE POOL

Sum of Wagers on All Betting Interest =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Profit: Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher =	\$121,816.66
Place Profit: Profit / 2 =	\$60,908.33
Profit Per Dollar for 1st place: Place Profit/Gross Amount Bet on 1st place finisher =	\$2.5514548
\$1 Unbroken Price for 1st place: Profit Per Dollar for 1st place + \$1 =	\$3.5514548
Profit per Dollar for 2nd place: Place Profit/Gross Amount Bet on 2nd place finisher =	\$4.8726665

\$1 Unbroken Price for 2nd place:

Profit Per Dollar for 2nd place + \$1 = \$5.8726664

(d) Show Pools

(i) The amounts wagered to Show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Show on that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest.

(ii) The net Show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(B) If contestants of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with two-thirds (2/3) distributed to those who selected the couple entry or mutuel field and one-third (1/3) distributed to those who selected the other betting interest included within the first three finishers; otherwise

(C) As a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers, then

(D) As a profit split to those who selected one of the two covered betting interest included within the first three finishers; but if there are no such wagers on two of those three finishers, then

(E) As a single price pool to those who selected the one covered betting interest included within the first three finishers; but if there are no such wagers, then

(F) As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then

(G) The entire pool shall be refunded on Show wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Two contestants representing the same betting interest, the profit is divided with two-thirds (2/3) distributed to those who selected the first-place finishers and one-third (1/3) distributed to those who selected the betting interest finishing third.

(B) Three contestants representing a single betting interest, the Show pool shall be distributed as a single price pool.

(C) Contestants representing two or more betting interest, the Show pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the profit is divided with one-third (1/3) distributed to those who selected the betting interest finishing first and two-thirds (2/3) distributed to those who selected the second-place finishers.

(B) Contestants representing two betting interest, the Show pool shall be distributed as a profit split.

(C) Contestants representing three betting interest, the Show pool is divided with one-third (1/3) of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second.

(v) If there is a dead heat for third involving:

(A) Contestants representing the same betting interest, the Show pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Show pool is divided with two-thirds (2/3) of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for third.

SHOW POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00

Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout)	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00
Profit:	
Net Pool - Gross Amount Bet on 1st Place Finisher	
Net Pool - Gross Amount Bet on 2nd Place Finisher	
Net Pool - Gross Amount Bet on 3rd Place Finisher =	\$117,408.66
Show Profit: Profit / 3 =	\$39,136.22
Profit Per Dollar for 1st place:	
Show Profit/Gross Amount Bet on 1st place finisher =	\$1.6394194
\$1 Unbroken Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$2.6394194
Profit per Dollar for 2nd place:	
Show Profit/Gross Amount Bet on 2nd place finisher =	\$3.1308876
\$1 Unbroken Price for 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$4.1308976
Profit Per Dollar for 3rd place:	
Show Profit/Gross Amount Bet on 3rd Place finisher =	\$8.8784528
\$1 Unbroken Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$9.8784528

SHOW POOL

Single Takeout Rate & Single Betting Source

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	

Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Total Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on 1st place finisher =	\$23,872.00
Net Amount Bet on 1st place finisher =	\$19,575.04
Gross Amount Bet on 2nd place finisher =	\$12,500.00
Net Amount Bet on 2nd place finisher =	\$10,250.00
Gross Amount Bet on 3rd place finisher =	\$4,408.00
Net Amount Bet on 3rd place finisher =	\$3,614.56
Total Net Bet on Winners:	
Net Amount Bet on 1st place finisher +	
Net Amount Bet on 2nd place finisher +	
Net Amount Bet on 3rd place finisher =	\$33,439.60
Total Profit:	
Total Net Pool - Total Net Bet on Winner =	\$124,749.06
Show Profit: Total Profit /3 =	\$41,583.02
Profit Per Dollar for 1st place:	
Show Profit/Net Amount Bet on 1st place finisher =	\$2.1242879
\$1 Unbroken Base Price for 1st place:	
Profit Per Dollar for 1st place + \$1 =	\$3.1242879
\$1 Unbroken Price for 1st place:	
\$1 Unbroken base Price for 1st place x (1 - percent takeout)	\$2.5619161
Profit Per Dollar for 2nd place:	
Show Profit/Net Amount Bet on 2nd place finisher =	\$4.0568800
\$1 Unbroken Base Price 2nd place:	
Profit Per Dollar for 2nd place + \$1 =	\$5.0568800
\$1 Unbroken Price for 2nd place:	
\$1 Unbroken Base Price for 2nd place x (1 - percent takeout) =	\$4.1466416
Profit Per Dollar for 3rd place:	
Show Profit/Net Amount bet on 3rd place finisher =	\$11.504310
\$1 Unbroken Base Price for 3rd place:	
Profit Per Dollar for 3rd place + \$1 =	\$12.504310
Unbroken Price for 3rd place:	
\$1 Unbroken Base Price for 3rd place x (1 - percent takeout) =	\$10.253534

(e) Double Pools

(i) The Double requires section of the first-place finisher in each of two specified contests.

(ii) The net Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the two contest; but if there are no such wagers, then

(B) As a profit split to those who selected the first-place finisher in either of the two contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the one covered first-place finisher in either contest; but if there are no such wagers, then

(D) As a single price pool to those whose selection finished second in each of the two contests but if there are no such wagers, then

(E) The entire pool shall be refunded on Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two contests involving:

(A) Contestants representing the same betting interest, the Double pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interest, the Double pool shall be distributed as a profit split if there is more than one covered winning combination.

(iv) Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(v) Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.

(vi) Should a betting interest in the second-half of the Double be scratched after the close of wagering on the first Double contest, all wagers combining

the winner of the first contest with the scratched betting interest in the second contest shall be allocated a consolation payoff. In calculating the consolation payoff the net Double pool shall be divided by the total amount wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Double pool before calculation and distribution of the winning Double payoff. Dead heats including separate betting interests in the first contest shall result in a consolation payoff calculated as a profit split.

(vii) If either of the Double contests are cancelled or declared "no contest" after the conclusion of the first Double contest, the net Double pool shall be distributed as a single price pool to wagers selecting the winner of the first Double contest. In the event of a dead heat involving separate betting interests, the net Double pool shall be distributed as a profit split.

DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Gross Amount Bet on Winning Combination =	\$23,872.00
Profit:	
Net Pool - Gross Amount Bet on Winning Combination =	\$134,316.66
Profit Per Dollar: Profit/Gross Amount Bet on Winning Combination =	\$5.6265357
\$1 Unbroken Price:	
Profit Per Dollar + \$1 =	6.6265357

CONSOLATION DOUBLE POOL

Sum of Wagers on All Betting Interests =	\$194,230.00
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Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interest - Refunds =	\$192,913.00
Percent Takeout =	18%
Net Pool: Gross Pool x (1 - Percent Takeout) =	\$158,188.66
Consolation Pool: Sum Total Amount Bet on Winner of the first contest with all second contest betting interest =	\$43,321.00
\$1 Consolation Unbroken Consolation Price: Net Pool/ Consolation Pool =	\$3.6515468
\$1 Consolation Broken Price =	\$3.65
Amount Bet on winner of the first contest with scratched betting interests:	\$1,234.00
Consolation Liability: \$1 Consolation Broken Price x (Amount bet on the winner of the first contest with scratched betting interests) =	\$4,504.10
Adjusted Net Pool: Net Pool - Consolation Liability =	\$153,684.56
Gross Amount Bet on the Winning Combination =	\$23,872.00
Profit: Adjusted Net Pool - Gross Amount Bet on the Winning Combination =	\$129,812.56
Profit Per Dollar: Profit/Gross Amount Bet on the Winning Combination =	\$5.4378586

(f) Pick Three Pools

(i) The Pick Three requires selection of the first-place finisher in each of three specified contests.

(ii) The net Pick Three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose selection finished first in each of the three contests; but if there are no such wagers, then

(B) As a single price pool to those who selected the first-place finisher in any two of the three contests; but if there are no such wagers, then

(C) As a single price pool to those who selected the first-place finisher in any one of the three contests; but if there are no such wagers, then

(D) The entire pool shall be refunded on Pick Three wagers for those contests.

(iii) If there is a dead heat for first in any of the three contests involving:

(A) Contestants representing the same betting interest, the Pick Three pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick Three pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the three Pick Three contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) If all three Pick Three contests are cancelled or declared "no contest", the entire pool shall be refunded on Pick Three wagers for those contests.

(vi) If one or two of the Pick Three contests are cancelled or declared "no contest", the Pick Three pool will remain valid and shall be distributed in accordance with subsection 2 of this rule.

(g) Pick (n) Pools

(i) The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The Permittee must obtain written approval from the Commission concerning the scheduling of Pick (n) contest, the designation of one of the methods prescribed in Part (ii), and the amount of any cap to be set on the carryover.

Any changes to the approved Pick (n) format require prior approval from the Commission.

(ii) The Pick (n) pool, shall be apportioned under one of the following methods:

(A) Method 1, Pick (n) with Carryover, No Minor Pool: The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder shall be added to the carryover.

(B) Method 2, Pick (n) with Minor Pool and Carryover: The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major share shall be added to the carryover.

(C) Method 3, Pick (n) with No Minor Pool and No Carryover: The net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(D) Method 4, Pick (n) with Minor Pool and No Carryover: The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If the greatest number of the first-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

(E) Method 5, Pick (n) with Minor Pool and No Carryover: The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contest, based upon the official

order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no winning wagers, the pool is refunded.

(iii) If there is a dead heat for first in any of the Pick (n) contests involving:

(A) Contestants representing the same betting interest, the Pick (n) pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the Pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(iv) Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(v) The Pick (n) pool shall be cancelled and all Pick (n) wagers for the individual performance shall be refunded if:

(A) At least two contests included as part of a Pick 3 are cancelled or declared "no contest".

(B) At least three contests included as part of a Pick 4, Pick 5 or Pick 6 are cancelled or declared "no contest".

(C) At least four contests included as part of a Pick 7, Pick 8 or Pick 9 are cancelled or declared "no contest".

(D) At least five contests included as part of a Pick 10 are cancelled or declared "no contest".

(E) At least five contests included as part of a pick 10 are cancelled or declared "no contest".

(vi) If at least one contest included as part of a Pick (n) is cancelled or declared "no contest", but not more than the number specified in subsection (E) of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.

(vii) The Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of a performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent of the net pool, part of which ordinarily would be added to the Pick (n) carryover, shall be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance.

(viii) A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(ix) Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection viii of this rule.

(B) On the closing performance of the meet or split meet.

(x) If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.

(xi) With the written approval of the Commission, the Permittee may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.

(xii) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or

number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xiii) The Permittee may suspend, for a specific performance, previously-approved Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Pick (n) wagering is reinstated. A Permittee may request approval of a Pick (n) wager or separate wagering pool for specific performances or events.

PICK & POOL

Multiple Takeout Rates & Multiple Betting Sources

(Net Price Calculation)

Percent Takeout	Gross Pool	Gross Amt. Bet on Win	Net Pool	Net Amt. Bet on Win
Source 1:				
16%	\$190,000.00	\$44.00	\$159,600.00	\$36.96
Source 2:				
18.5%	\$10,000.00	\$18.00	\$8,150.00	\$14.67
Source 3:				
21%	\$525,730.00	\$124.00	\$415,326.70	\$97.96
TOTALS:				
	\$725,730.00	\$186.00	\$583,076.70	\$149.59

Total Profit:

Total Net Pool - Total Net Bet on the Winning Combination = \$582,927.11

Profit Per Dollar:

Total Profit/Total Net Bet on the Winning Combination = \$3,896.8321

\$1 Unbroken Base Price: Profit Per Dollar + \$1 = \$3,897.8321

\$1 Unbroken Price for Source 1: \$1 Unbroken Base Price x (1 - Percent Takeout) = \$3,274.1789

\$1 Unbroken Price for Source 2: \$1 Unbroken Base Price x

(1 - Percent Takeout) =	\$3,176.7331
\$1 Unbroken Price for Source 3: \$1 Unbroken Base Price x (1 - Percent Takeout) =	\$3,079.2873

(h) Quinella Pools

(i) The Quinella requires selection of the first two finishers, irrespective of order, for a single contest.

(ii) The net Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Quinella pool shall be distributed to those selecting the couple entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interest, the Quinella pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the winner with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then

(D) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interest involved in the dead heat for second; but if there are no such wagers, then

(E) The entire pool shall be refunded on Quinella wagers for that contest.

(i) Quinella Double Pools

(i) The Quinella Double requires selection of the first two finishers, irrespective of order, in each of two specified contests.

(ii) The net Quinella Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If a coupled entry or mutuel field finishes as the first two contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest, as well as the first two finishers in the alternate Quinella Double contest; otherwise

(B) As a single pool to those who selected the first two finishers in each of the two Quinella Double contests; but if there are no such wagers, then

(C) As a profit split to those who selected the first two finishers in either of the two Quinella Double contests; but if there are no such wagers on one of those contests, then

(D) As a single price pool to those who selected the first two finishers in the one covered Quinella Double contest; but if there were no such wagers, then

(E) The entire pool shall be refunded on Quinella Double wagers for those contests.

(iii) If there is a dead heat for first in either of the two Quinella Double contests involving:

(A) Contestants representing the same betting interest, the Quinella Double pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest.

(B) Contestants representing two betting interests, the Quinella Double pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the Quinella Double pool shall be distributed as a profit split.

(iv) If there is a dead heat for second either of the Quinella Double contest involving contestants representing the same betting interests, the Quinella Double pool shall be distributed as profit split.

(v) Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vi) Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.

(vii) Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest shall be allocated a consolation payoff.

In calculating the consolation payoff the net Quinella Double pool shall be divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payoff. Breakage is not utilized in this calculation. The consolation payoff is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payoff. In the event of a dead heat involving separate betting interests, the net Quinella Double pool shall be distributed as a profit split.

(viii) If either of the Quinella Double contests is cancelled prior to the first Quinella Double contest, or the first Quinella Double contest is declared "no contest", the entire Quinella Double pool shall be refunded on quinella Double wagers for those contests.

(ix) If the second Quinella Double contest is cancelled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests.

(j) Exacta Pools

(i) The Exacta requires selection of the first two finishers, in their exact order, for a single contest.

(ii) The net Exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished in correct sequence as the first two betting interest; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then

(E) The entire pool shall be refunded on Exacta wagers for that contest.

(iii) If there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the Exacta pool shall be distributed as single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two or more betting interests, the Exacta pool shall be distributed as a profit split.

(iv) If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool shall be distributed as if no dead heat occurred.

(v) If there is a dead heat for second involving contestants representing two or more betting interests, the Exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:

(A) As a profit split to those combining the first-place betting interest with any of the betting interest involved in the dead heat for second; but if there is only one covered combination, then

(B) As a single price pool to those combining the first-place betting interest with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(C) As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then

(D) The entire pool shall be refunded on Exacta wagers for that contest.

(k) Trifecta Pools

(i) The Trifecta requires selection of the first three finishers, in their exact order, for a single contest.

(ii) The net Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire pool shall be refunded on Trifecta wagers for that contest.

(iii) If less than three betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split.

(v) If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split.

(I) Superfecta Pools

(i) The Superfecta requires selection of the first four finishers, in their exact order, for a single contest.

(ii) The net Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire pool shall be refunded on Superfecta wagers for that contest.

(iii) If less than four betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

(iv) If there is a dead heat for first involving:

(A) Contestants representing four or more betting interests, all of the wagering combinations selecting four betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(B) Contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split.

(C) Contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split.

(v) If there is a dead heat for second involving:

(A) Contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three betting interests involved in the dead heat for second shall share in a profit split.

(B) Contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split.

(vi) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for third shall share in a profitsplit.

(vii) If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profitsplit.

(viii) Coupled entries and mutuel fields shall be prohibited in Superfecta contests.

(m) Twin Quinella Pools

(i) The Twin Quinella requires selection of the first two finishers, irrespective of order, in each of two designated contests. Each winning ticket for the first Twin Quinella contest must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests shall be included in only one Twin Quinella pool.

(ii) In the first Twin Quinella contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners; otherwise,

(B) Those whose combination finished as the first two betting interests shall be winners; but if there are no such wagers, then

(C) Those whose combination included either the first- or second-place finisher shall be winners; but if there are no such wager on one of those two finishers, then

(D) The entire pool shall be refunded on Twin Quinella wagers for that contest.

(iii) In the first Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners.

(B) Contestants representing two betting interests, the winning Twin Quinella wagers shall be determined as if no dead heat occurred.

(C) Contestants representing three or more betting interests, those whose combination included any two of the betting interests finishing in the dead heat shall be winners.

(iv) In the first Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, those who selected the first-place finisher combined with the coupled entry or mutuel field in second-place shall be winners.

(B) Contestants representing two or more betting interests, those who combined the first-place finisher with any of the betting interest involved in the dead for second shall be winners.

(v) In the second Twin Quinella contest only, the entire net Twin Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest:

(A) If a coupled entry or mutuel field finishes as the first two finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(B) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(C) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(D) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(E) In accordance with subsection (ii) of the Twin Quinella rules.

(vi) In the second Twin Quinella contest only, if there is a dead heat for first involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(B) Contestants representing two betting interests, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(C) Contestants representing three or more betting interests, the net Twin Quinella pool shall be distributed as profit split to those whose combination included any two of the betting interests finishing in the dead heat.

(vii) In the second Twin Quinella contest only, if there is a dead heat for second involving:

(A) Contestants representing the same betting interest, the net Twin Quinella pool shall be distributed as if no dead heat occurred.

(B) Contestants representing two or more betting interests, the net Twin Quinella pool shall be distributed as a profit split to those who combined the first-place finisher with any of the betting interests involved in the dead heat for second.

(viii) If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest.

(ix) Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest shall be refunded.

(x) Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Quinella contest, the ticket holder forfeits all rights to the Twin Quinella pool.

(xi) If either of the Twin Quinella contests is cancelled prior to the first Twin Quinella contest, or the first Twin Quinella contest is declared "no contest", the entire Twin Quinella pool shall be refunded on Twin Quinella wagers for that contest.

(xii) If the second-half Twin Quinella contest is cancelled or declared "no contest" after the conclusion of the first Twin Quinella contest, the net Twin Quinella pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Twin Quinella contest and all valid exchange tickets. If there are no such wagers, the net Twin Quinella pool shall be distributed as described in subsection (ii) of the Twin Quinella rules.

(n) Twin Trifecta Pools

(i) The Twin Trifecta requires selection of the first three finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payoff. Both of the designated Twin Trifecta contests shall be included in only one Twin Trifecta pool.

(ii) After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool shall then be divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool.

(iii) In the first Twin Trifecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contests and the second-half shall be cancelled.

(iv) If no first-half Twin Trifecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool shall be retained and added to any existing Twin Trifecta carryover pool.

(v) Winning tickets from the first-half of the Twin Trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first three betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance.

(vi) If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest shall be refunded.

(viii) Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Trifecta contest, the ticket holder forfeits all rights to the second-half Twin Trifecta pool.

(ix) If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Trifecta pool for the contest as a single price pool, but not the Twin Trifecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Twin Trifecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Trifecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Trifecta contests are cancelled prior to the first Twin Trifecta contest is declared "no contest", the entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Trifecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Trifecta tickets shall be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool shall be distributed as described in subsection (iii) of the Twin Trifecta rules.

(xiv) The Twin Trifecta carryover may be capped at a designated level approval by the Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, 100 percent of the net Twin Trifecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Trifecta pool.

(xv) A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.

(xvi) Should the Twin Trifecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Trifecta rules, during a performance designated to distribute the Twin Trifecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Trifecta pool and any existing Twin Trifecta carryover as a single price pool.

(xviii) The Twin Trifecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Trifecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall then be added to the second-half Twin Trifecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Twin Trifecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Commission.

(o) Tri-Superfecta Pools

(i) The Tri-Superfecta requires selection of the first three finishers, in their exact order, in the first of two designated contests and the first four finishers, in exact order, in the second of the two designated contests. Each winning ticket for the first Tri-Superfecta contest must be exchanged for a free ticket on the second Tri-Superfecta contest in order to remain eligible for the second-half Tri-Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-Superfecta contest. Winning first-half Tri-Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Tri-Superfecta contests shall be included in only one Tri-Superfecta pool.

(ii) After wagering closes for the first-half of the Tri-Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Tri-Superfecta pool and the second-half Tri-Superfecta pool.

(iii) In the first Tri-Superfecta contest only, winning tickets shall be determined using the following precedence, based upon the official order of finish for the first Tri-Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(D) The entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Tri-Superfecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Tri-Superfecta pool. In such case, the second-half Tri-Superfecta pool shall be retained and added to any existing Tri-Superfecta carryover pool.

(v) Winning tickets from the first-half of the Tri-Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Tri-Superfecta. The second-half Tri-Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Tri-Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Tri-Superfecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Tri-Superfecta pool of the next performance.

(vi) If a winning first-half Tri-Superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-Superfecta pool but forfeits all rights to any distribution of the second-half Tri-Superfecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Tri-Superfecta contests.

(viii) Should a betting interest in the first-half of the Tri-Superfecta be scratched, those Tri-Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Tri-Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Tri-Superfecta contest, the ticket holder forfeits all rights to the second-half Tri-Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Tri-Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-Superfecta, all Tri-Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

(A) The first-half of the Tri-Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Tri-Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Tri-Superfecta contests are cancelled prior to the first Tri-Superfecta contest, or the first Tri-Superfecta contest is declared "no contest", the entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Tri-Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Tri-Superfecta tickets shall be entitled to the net Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. If there are no such tickets, the net Tri-Superfecta pool shall be distributed as described in subsection (iii) of the Tri-Superfecta rules.

(xiv) The Tri-Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Tri-Superfecta carryover equals or exceeds the designated cap, the Tri-Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Tri-Superfecta carryover is frozen, 100 percent of the net Tri-Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Tri-Superfecta pool.

(xv) A written request for permission to distribute the Tri-Superfecta as a carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Tri-Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-Superfecta after completion of the first-half of the Tri-Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to holders of valid exchange tickets.

(E) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Tri-Superfecta rules, during a performance designated to distribute Tri-Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-Superfecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no

such wagers selecting the first-place betting interest only in the first-half of the Tri-Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Tri-Superfecta pool and any existing Tri-Superfecta carryover as a single price pool.

(xviii) The Tri-Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Tri-Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Tri-Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Tri-Superfecta carryover must be held over to the corresponding Tri-Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Tri-Superfecta carryover plus accrued interest shall then be added to the second-half Tri-Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations or number of tickets sold is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Tri-Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and amount of any cap to be set on the carryover. Any changes to the approved Tri-Superfecta format require approval from the Commission.

(p) Twin Superfecta Pools

(i) The Twin Superfecta requires selection of the first four finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended tickets windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Twin Superfecta contests shall be included in only one Twin Superfecta pool.

(ii) After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool.

(iii) In the first Twin Superfecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) The entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(iv) If no first-half Twin Superfecta ticket selects the first four finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool shall be retained and added to any existing Twin Superfecta carryover pool.

(v) Winning tickets from the first-half of the Twin Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest:

(A) As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then

(B) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover monies and retained for the corresponding second-half Twin Superfecta pool of the next performance.

(vi) If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool.

(vii) Coupled entries and mutuel fields shall be prohibited in Twin Superfecta contests.

(viii) Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest shall be refunded.

(ix) Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Superfecta contest, the ticket holder forfeits all rights to the second-half Twin Superfecta pool.

(x) If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover.

(xi) If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring:

(A) The first-half of the Twin Superfecta, the payoff shall be calculated as a profit split.

(B) The second-half of the Twin Superfecta, the payoff shall be calculated as a single price pool.

(xii) If either of the Twin Superfecta contests are cancelled prior to the first Twin Superfecta contest, or the first Twin Superfecta contest is declared "no

contest", the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be cancelled.

(xiii) If the second-half Twin Superfecta contest is cancelled or declared "no contest", all exchange tickets and outstanding first-half winning Twin Superfecta tickets shall be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool shall be distributed as described in subsection 3 of the Twin Superfecta rules.

(xiv) The Twin Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Superfecta carryover equals or exceeds the designated cap, the Twin Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Twin Superfecta carryover is frozen, 100 percent of the net Twin Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Superfecta pool.

(xv) A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

(xvi) Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta after completion of the first-half of the Twin Superfecta:

(A) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then

(B) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then

(C) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(D) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(E) As a single price pool to holders of valid exchange tickets.

(F) As a single price pool to holders of outstanding first-half winning tickets.

(xvii) Contrary to subsection (iv) of the Twin Superfecta rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third-, and fourth-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-, second-, third-place betting interests. If there are no wagers correctly selecting the first-, second-, third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, the exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Superfecta pool and any existing Twin Superfecta carryover as a single price pool.

(xviii) The Twin Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

(A) Upon written approval from the Commission as provided in subsection (xv) of the Twin Superfecta rules.

(B) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued.

(C) On the closing performance of the meet or split meet.

(xix) If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Superfecta carryover plus accrued interest shall then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Commission.

(xx) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(xxi) The Permittee must obtain written approval from the Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Commission.